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Wachovia Securities, LLC, Wachovia Securities  
Financial Network, LLC, Wachovia Capital Markets,  
LLC, Wells Fargo Advisors, LLC, Wells Fargo  
Advisors Financial Network, LLC, Wells Fargo  
Securities, LLC, Wells Fargo & Company

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

THEODORE KAGAN, JAMES AVEN, LAURA  
JACOBS, JOSEPH SOFFE, and ALBERKRACK  
FAMILY LIMITED PARTNERSHIP, on behalf  
of themselves and all others similarly situated,

Plaintiffs,  
v.

WACHOVIA SECURITIES, LLC, a North  
Carolina limited liability company; WACHOVIA  
SECURITIES FINANCIAL NETWORK, LLC, a  
North Carolina limited liability company;  
WACHOVIA CAPITAL MARKETS, LLC, a  
North Carolina limited liability company;  
WELLS FARGO ADVISORS, LLC, a Delaware  
limited liability company; WELLS FARGO  
ADVISORS FINANCIAL NETWORK, LLC, a  
Delaware limited liability company; WELLS  
FARGO SECURITIES, LLC, a Delaware limited  
liability company; WELLS FARGO &

No. CV 09 5337 SC

**JOINT STIPULATION BETWEEN  
PLAINTIFFS AND DEFENDANTS  
RE DATES FOR FILING  
RESPONSIVE PLEADING**

Place: Courtroom 1  
Judge: Hon. Samuel Conti

A/73308782.1/3003050-0000343638

1 COMPANY, a Delaware corporation and DOES  
 2 1 through 10, inclusive,  
 3 Defendants.

4 This Stipulation is entered into by and among plaintiffs Theodore Kagan, James  
 5 Aven, Laura Jacobs, Joseph Soffa and Alberkrack Family Limited Partnership (collectively,  
 6 "Plaintiffs"), on the one hand, and defendants Wachovia Securities, LLC, Wachovia Securities  
 7 Financial Network, LLC, Wachovia Capital Markets, LLC, Wells Fargo Advisors, LLC, Wells  
 8 Fargo Financial Network, LLC, Wells Fargo Securities, LLC and Wells Fargo & Company  
 9 (collectively, "Defendants"), on the other hand, with the following facts:

10 A. Plaintiffs filed their Summons and Complaint in the above-captioned matter  
 11 (the "Complaint") on or about November 10, 2009;

12 B. Plaintiffs served their Complaint on Defendants on or about January 22, 2010;

13 C. Defendants' response to the Complaint is currently due by Thursday, March  
 14 11, 2010.

15 D. A Joint Case Management Conference Statement is currently due by April 27,  
 16 2010;

17 E. A Case Management Conference is currently set for May 7, 2010, at 10:00  
 18 a.m. in Courtroom #1;

19 F. Plaintiffs and Defendants have met and conferred in good faith over the claims  
 20 asserted in the Complaint.

21 **IT IS HEREBY STIPULATED AND AGREED as follows:**

22 1. Defendants' response to the Complaint is currently due by Thursday, March 11,  
 23 2010.

24 2. A Case Management Conference is set for May 7, 2010, at 10:00 a.m. in  
 25 Courtroom #1, and the Plaintiffs' and Defendants' Joint Case Management Conference  
 26 Statement is due by April 27, 2010.

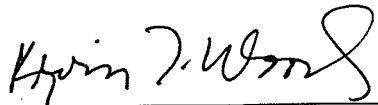
3. Defendants seek a further extension of time to respond to the Complaint, to allow Plaintiffs and Defendants to further discuss the issues presented in the Complaint, and potentially narrow those issues. Defendants also seek additional time for preparation of the response to the Complaint.

4. Plaintiffs consent to granting all Defendants an extension of time in which Defendants must respond to the Complaint. Subject to the Court's approval, all Defendants shall file their response to the Complaint on or before April 1, 2010.

5. Plaintiffs and Defendants have met and conferred in good faith over the claims asserted in the Complaint.

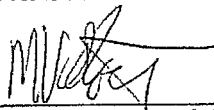
DATED: March 4, 2010

Bingham McCutchen LLP

By:   
Donald S. Davidson  
Michael D. Blanchard  
Kevin J. Woods  
Attorneys for Defendants

DATED: March 2, 2010

Kabateck Brown Kellner LLP

By:   
Michael V. Storti  
Attorney for Plaintiffs